## THE UNITED STATES DISTRICT COURT DISTRICT OF UTAH

UNITED STATES OF AMERICA,

Plaintiff,

v.

TARIK JAMILE CARPENTER,

Defendant.

MEMORANDUM DECISION AND ORDER DENYING [28] MOTION TO DISMISS COUNT 1 OF THE INDICTMENT

Case No. 1:21-cr-00086-DBB

District Judge David Barlow

On August 25, 2022, Defendant Tarik Jamile Carpenter filed his Motion to Dismiss Count 1 of the Indictment. Count 1 charges Mr. Carpenter with unlawfully possessing a firearm and ammunition as a felon in violation of 18 U.S.C. § 922(g)(1). In his motion, Mr. Carpenter argues that § 922(g)(1) violates the Second Amendment under the test announced in the Supreme Court's recent decision, *New York State Rifle & Pistol Ass'n, Inc. v. Bruen.* For the reasons stated below, Mr. Carpenter's motion is denied.

## **BACKGROUND**

On August 18, 2020, Mr. Carpenter pleaded guilty and was convicted of a felony under Utah law. Specifically, Mr. Carpenter was convicted of Possession with Intent to Distribute a Controlled Substance, a third-degree felony. For this offense, Mr. Carpenter was sentenced to a suspended prison term of 45 days in jail and placed on felony probation.

<sup>&</sup>lt;sup>1</sup> Def.'s Mot. to Dismiss, ECF No. 28, filed August 25, 2022.

<sup>&</sup>lt;sup>2</sup> Indictment, ECF No. 1, filed July 28, 2021 (Indictment unsealed by ECF No. 8).

<sup>&</sup>lt;sup>3</sup> 142 S. Ct. 2111 (2002).

<sup>&</sup>lt;sup>4</sup> Govt's Resp. to Mot. to Dismiss at 2, ECF No. 30, filed September 19, 2022.

<sup>&</sup>lt;sup>5</sup> *Id.* (State case no. 201901557).

Thereafter, on April 20, 2021, Ogden City police officers responded to a complaint of two males discussing a drug deal in a park. The complainant provided the location and a description of the individuals, including that one of the men had a gun. When the officers arrived at the location, they observed Mr. Carpenter and his brother, who matched the description, sitting in a vehicle. When approached, Mr. Carpenter told the officers he was on parole, and he eventually admitted there was a firearm in the trunk of the vehicle. A search of the vehicle revealed a Glock 22, .40 caliber firearm and ammunition in the trunk.<sup>6</sup>

On July 28, 2021, a grand jury returned an indictment that included, as Count 1, the charge of Felon in Possession of a Firearm and Ammunition in violation of 18 U.S.C. § 922(g)(1) for Mr. Carpenter's allegedly unlawful possession of the Glock 22, .40 caliber handgun and associated ammunition on April 20, 2021. Section 922(g)(1) states: "It shall be unlawful for any person . . . who has been convicted in any court, of a crime punishable by imprisonment for a term exceeding one year . . . to . . . possess in or affecting commerce, any firearm or ammunition."

In his motion, Mr. Carpenter seeks to have this court dismiss Count 1 of the indictment. He argues that 18 U.S.C. § 922(g)(1) is no longer viable following the Supreme Court's recent decision in *Bruen*. Specifically, Mr. Carpenter contends that, even though he is a felon, the Second Amendment protects his right to bear arms, and that the government cannot satisfy its burden to show a longstanding American tradition prohibiting felons from possessing firearms.<sup>9</sup>

<sup>&</sup>lt;sup>6</sup> *Id*.

<sup>&</sup>lt;sup>7</sup> ECF No. 1.

<sup>&</sup>lt;sup>8</sup> 18 U.S.C. § 922(g)(1).

<sup>&</sup>lt;sup>9</sup> ECF No. 28 at 3–6; Def.'s Reply in Supp. of Mot. to Dismiss at 3–9, ECF No. 36, filed October 26, 2022.

## **DISCUSSION**

Relying on *Heller* and *McDonald*, courts of appeals, including the Tenth Circuit, have uniformly upheld the constitutionality of § 922(g)(1).<sup>17</sup> In *United States v. McCane*, the Tenth

<sup>&</sup>lt;sup>10</sup> U.S. CONST. amend. II.

<sup>&</sup>lt;sup>11</sup> 554 U.S. 570, 595 (2008).

<sup>&</sup>lt;sup>12</sup> *Id*. at 626.

<sup>&</sup>lt;sup>13</sup> *Id*.

<sup>&</sup>lt;sup>14</sup> Id. at 626–27 n.26.

<sup>&</sup>lt;sup>15</sup> 561 U.S. 742 (2010).

<sup>&</sup>lt;sup>16</sup> *McDonald*, 561 U.S. at 786.

<sup>&</sup>lt;sup>17</sup> See, e.g., United States v. Bogle, 717 F.3d 281, 282 n.1 (2d Cir. 2013) (collecting cases); see also, e.g., Folajtar v. Att'y General, 980 F.3d 897, 901 (3d Cir. 2020) ("Since Heller, we [the Third Circuit], along with every court to consider the issue, have rejected challenges that § 922(g)(1) on its face violates the Second Amendment"); see also United States v. Siddoway, No. 1:21-cr-205, 2022 WL 4482739, at \*1 (D. Idaho Sept. 27, 2022) (providing that the

Circuit rejected the defendant's Second Amendment challenge to § 922(g)(1) explaining that "[t]he Supreme Court . . . explicitly stated in *Heller* that 'nothing in our opinion should be taken to cast doubt on longstanding prohibitions on the possession of firearms by felons." Then, in an unpublished majority decision in *In re United States*, the Tenth Circuit explained: "We have already rejected the notion that *Heller* mandates an individualized inquiry concerning felons pursuant to § 922(g)(1)."19 And, more recently, in *United States v. Griffith*, the Tenth Circuit reiterated that § 922(g)(1)'s prohibition of firearms by felons is constitutional.<sup>20</sup> Simply put, post-Heller, the Tenth Circuit has not permitted either facial or as-applied challenges to § 922(g)(1).<sup>21</sup>

In his motion, Mr. Carpenter argues that the Supreme Court's decision in *Bruen* rendered § 922(g)(1) presumptively invalid and requires this court to examine the constitutionality of the statute using the standard set forth in *Bruen*. <sup>22</sup> Mr. Carpenter also asserts that when examining whether § 922(g)(1) is constitutional, this court should disregard the Supreme Court's dicta in Heller regarding the "longstanding prohibition[] on the possession of firearms by felons,"23 and

courts of appeals, including the Ninth Circuit, "uniformly upheld the constitutionality of § 922(g)(1)" based on Heller).

<sup>&</sup>lt;sup>18</sup> *McCane*, 573 F.3d at 1047 (quoting *Heller*, 128 S. Ct. at 2816–17).

<sup>&</sup>lt;sup>19</sup> *In re United States*, 578 F.3d 1195 (10th Cir. 2009) (unpublished).

<sup>&</sup>lt;sup>20</sup> See United States v. Griffith, 928 F.3d 855, 871 (10th Cir. 2019); see also United States v. Gieswein, 887 F.3d 1054, 1064 n.6 (10th Cir. 2018) (confirming that Tenth Circuit precedent forecloses defendant's argument that § 922(g)(1) violates the Second Amendment).

21 Vincent v. Garland, No. 2:20-cv-883-DBB, Slip Copy, 2021 WL 4553249, at \*2–3 (D. Utah Oct. 5, 2021)

<sup>(</sup>rejecting "as-applied challenge under the Second Amendment to the felon-dispossession statutes" because such challenge was precluded by Tenth Circuit precedent); see, e.g., McCane, 573 F.3d at 1047; United States v. Molina, 484 Fed. App'x 276, 285 (10th Cir. 2012) (citing Heller and McDonald and concluding district court did not err in rejecting defendant's argument that § 922(g)(1) was unconstitutional). <sup>22</sup> ECF No. 28 at 3–4.

<sup>&</sup>lt;sup>23</sup> Mr. Carpenter urges this court to reject *Heller*'s language addressing the presumptive lawfulness of the "longstanding prohibition" on the possession of firearms by felons on the basis this language is dicta. ECF No. 36 at 2. However, the Tenth Circuit has repeatedly instructed that we are "bound by Supreme Court dicta almost as firmly as by the Court's outright holdings, particularly when the dicta is recent and not enfeebled by later statements." Surefoot LC v. Sure Foot Corp., 531 F.3d 1236, 1243 (10th Cir. 2008) (quoting Gaylord v. United States, 74 F.3d

must require the government to prove that "that the prohibition § 922(g)(1) imposes on Mr. Carpenter is consistent with 'the Nation's historical tradition of firearm regulation."<sup>24</sup>

This court is bound, however, by Tenth Circuit precedent. Therefore, unless the Supreme Court's decision in *Bruen* superseded the Tenth Circuit's decision in *McCane*, or unless the Tenth Circuit or Supreme Court determines that § 922(g)(1) is not constitutional, this court is compelled to follow *McCane*. <sup>25</sup> "This court's role is to apply Tenth Circuit precedent, not to reconsider it." <sup>26</sup> In the absence of clear Supreme Court precedent overruling [*McCane*], this [c]ourt will 'follow the case which directly controls, leaving to the [Tenth Circuit] the prerogative of overruling its own decisions." <sup>27</sup> In other words, Mr. Carpenter's motion turns on whether the Supreme Court's decision in *Bruen* constitutes an intervening decision that has clearly and unmistakably overruled Tenth Circuit precedent upholding the constitutionality of § 922(g)(1). Having carefully reviewed *Bruen*, the court concludes that it is not.

There is nothing in *Bruen* to indicate that either *Heller*, Tenth Circuit precedent based on *Heller*, or § 922(g)(1), are no longer valid. As in *Heller* and *McDonald*, the issue before the

<sup>214, 217 (10</sup>th Cir. 1996)); see McCane, 573 F.3d at 1047 (Tymkovich, J., concurring); see also Hengle v. Treppa, 19 F.4th 324, 347 (4th Cir. 2021) ("We routinely afford substantial, if not controlling deference to dicta from the Supreme Court."); United States v. Huet, 665 F.3d 588, 600 n.11 (3d Cir. 2012) ("Although some of our sister circuits have classified the 'presumptively lawful' language in Heller as dicta, . . . we disagree."), abrogated on other grounds by United States v. De Castro, 49 F.4th 836 (3d Cir. 2022); Oyebanji v. Gonzales, 418 F.3d 260, 264–65 (3d Cir. 2005) (warning that "lower federal court[s] . . . are advised to follow the Supreme Court's 'considered dicta'") (collecting cases); United States v. Vongxay, 594 F.3d 1111, 1115 (9th Cir. 2010) (stating that Heller's language regarding lawful long-standing gun restrictions on felons is not nonbinding dicta).

24 ECF No. 138 at 5.

<sup>&</sup>lt;sup>25</sup> United States v. Carrero, 2:22-cv-00030-TC, 2022 WL 9348792, at \*4 (D. Utah Oct. 14, 2022) ("This court is bound to follow Tenth Circuit precedent unless it is overturned by the Tenth Circuit or a superseding contrary decision by the Supreme Court." (citing Burlington N. & Santa Fe Ry. Co. v. Burton, 270 F.3d 942, 947 (10th Cir. 2001))); see Vincent v. Garland, No. 2:20-cv-883-DBB, 2021 WL 4553249, at \*2–3 (D. Utah Oct. 5, 2021) (rejecting "as-applied challenge under the Second Amendment to the felon-dispossession statutes" on the basis that such challenge was precluded by Tenth Circuit precedent).

<sup>&</sup>lt;sup>26</sup> United States v. Hay, No. 19-20044-JAR, 2022 WL 1421562, at \*7 (D. Kan. May 5, 2022).

<sup>&</sup>lt;sup>27</sup> *Id.* (quoting *Agostini v. Felton*, 521 U.S. 203, 237 (1997)).

Court in *Bruen* concerned regulations that burdened "a law-abiding citizen's right to armed self-defense." Specifically, the petitioners in *Bruen* were challenging New York's concealed carry licensing law, which required applicants to show "proper cause" (i.e., a special need for self-protection, distinguishable from the community's need) in order to get a license. <sup>29</sup>

In the opening paragraph of *Bruen*, Justice Thomas, writing for the Court, expressly reaffirmed *Heller* and *McDonald*, and noted that both cases defined the right to bear arms as belonging to "law-abiding, responsible citizens."<sup>30</sup> The Court said:

In *District of Columbia v. Heller*, 554 U.S. 570, (2008), and *McDonald v. Chicago*, 561 U.S. 742, (2010), we recognized that the Second and Fourteenth Amendments protect the right of an *ordinary, law-abiding citizen* to possess a handgun in the home for self-defense. In this case, petitioners and respondents agree that *ordinary, law-abiding citizens* have a similar right to carry handguns publicly for self-defense. We too agree, and how hold, consistent with *Heller* and *McDonald*, that the Second and Fourteenth Amendments protect an individual's right to carry a handgun for self-defense outside the home.<sup>31</sup>

The Court noted that it was undisputed that the petitioners were "two ordinary lawabiding, adult citizens" who are "part of 'the people' whom the Second Amendment protects." Although the majority opinion did not specifically address *Heller*'s treatment of laws prohibiting the possession of firearms by felons, that issue was simply not before the Court. Nonetheless, in keeping with *Heller* and *McDonald*, the Court reiterated throughout its opinion that the Second Amendment protects "the right of law-abiding, responsible citizens to use arms for self-

<sup>&</sup>lt;sup>28</sup> Bruen, 142 S. Ct. at 2133.

<sup>&</sup>lt;sup>29</sup> *Id*.

<sup>&</sup>lt;sup>30</sup> See id. at 2122.

<sup>&</sup>lt;sup>31</sup> *Id.* (emphasis added).

<sup>&</sup>lt;sup>32</sup> *Id.* at 2134.

defense."<sup>33</sup> And in the concluding paragraph of the majority opinion, the Court repeated that the right to bear and keep arms belonged to "law-abiding citizens with ordinary self-defense needs."<sup>34</sup>

The concurrences and dissents in *Bruen* provide additional support for the conclusion that Bruen did not alter Heller, Tenth Circuit precedent based on Heller, or the constitutional status of § 922(g)(1). Both Justice Alito and Justice Kavanaugh, who was joined by Chief Justice Roberts, expressed in their concurring opinions their explicit intent to leave undisturbed government regulations prohibiting the possession of firearms by felons. For example, Justice Alito stated: "Our holding decides nothing about who may lawfully possess a firearm . . . . Nor have we disturbed anything that we said in *Heller* or *McDonald v. Chicago*, about restrictions that may be imposed on the possession or carrying of guns."<sup>35</sup> Justice Kavanaugh's concurring opinion, joined by Chief Justice Roberts, provided additional support for the endurance of felon in possession restrictions in the wake of Bruen, stating "Properly interpreted, the Second Amendment allows a 'variety' of gun regulations"<sup>36</sup> and quoting *Heller*'s statement that "[n]othing in our opinion should be taken to cast doubt on 'longstanding prohibitions on the possession of firearms by felons." The dissent clearly viewed the majority opinion the same way. Justice Breyer, joined by Justice Sotomayor and Justice Kagan, stated, "I understand the Court's opinion today to cast no doubt on" Heller's treatment of laws prohibiting firearm possession by felons.<sup>38</sup>

<sup>&</sup>lt;sup>33</sup> See id. at 2122, 2131, 2134, 2138, 2150, 2155.

<sup>&</sup>lt;sup>34</sup> *Id.* at 2156.

<sup>&</sup>lt;sup>35</sup> Id. at 2157 (Alito, J., concurring).

<sup>&</sup>lt;sup>36</sup> *Id.* at 2162 (Kavanaugh, J., joined by Roberts, C.J., concurring).

<sup>&</sup>lt;sup>37</sup> *Id.* (quoting *Heller*, 554 U.S. at 626–27).

<sup>&</sup>lt;sup>38</sup> *Id.* at 2189 (Breyer, J., joined by Sotomayor, J., and Kagan, J., dissenting).

Based on this understanding of *Bruen*, the court concludes that the Tenth Circuit's decision in *McCane* remains good law and controls the disposition of Mr. Carpenter's motion to dismiss. The court is bound by *McCane* and its progeny which, as stated previously, have repeatedly upheld the constitutionality of § 922(g)(1). For this reason, Mr. Carpenter's motion to dismiss must be denied.

Finally, this court is unaware of any court finding  $\S 922(g)(1)$  unconstitutional post-Bruen. Although not binding on this court, federal courts nationwide appear to have uniformly rejected constitutional challenges to  $\S 922(g)(1)$  in the wake of the Supreme Court's decision in Bruen.<sup>39</sup>

Supreme Court left generally undisturbed the regulatory framework" that prohibits the possession of firearms by felons); *United States v. King*, No. 21-cr-255, 2022 WL 5240928 (S.D.N.Y. Oct. 6, 2022) (rejecting defendant's facial and as applied challenge to § 922(g)(1) because *Bruen* "makes abundantly clear" that *Heller* and *McDonald* remain controlling precedent and Second Circuit precedent provides that § 922(g)(1) is constitutional); *United States v. Charles*, No. 22-cr-154, 2022 WL 4913900 (W.D. Tex. Oct. 3, 2022) (finding § 922(g)(1) constitutional on its face and as applied under *Bruen*'s framework); *United States v. Siddoway*, No. 1:21-cr-205, 2022 WL 4482739 (D. Idaho Sept. 27, 2022) (declining to "scrutinize the history of felon-in-possession statutes" because *Bruen* did not overrule *Heller* and court was bound by Ninth Circuit precedent upholding the constitutionality of § 922(g)(1)); *United States v. Collette*, No. 22-cr-141, 2022 WL 4476790 (W.D. Tex. Sept. 25, 2022) (finding § 922(g)(1) constitutional on its face and as applied under *Bruen*'s framework); *United States v. Hill*, No. 21-cr-107, 2022 WL 4361917 (S.D. Cal. Sept. 20, 2022) (finding § 922(g)(1) constitutional based Ninth Circuit precedent and stating that

<sup>&</sup>lt;sup>39</sup> Not all district courts have followed the same approach. Some courts have upheld the constitutionality of  $\S$  922(g)(1) after applying the test set forth in *Bruen*, some courts have upheld the constitutionality of  $\S$  922(g)(1) after concluding that Bruen did not alter binding circuit precedent, and some courts have relied on both grounds. See, e.g., United States v. Carrero, No. 2:22-cr-30-TC, 2022 WL 9348792 (D. Utah Oct. 14, 2022) (denying defendant's motion to dismiss after concluding that § 922(g)(1) survives the Bruen test and also because Bruen did not alter Tenth Circuit precedent); United States v. Coombes, No. 22-cr-189, 2022 WL 4367056 (N.D. Okla. Sept 21, 2022) (concluding that § 922(g)(1) is constitutional after performing a rigorous historical analysis and also because the court is arguably bound by precedent); see also, e.g., United States v. Butts, No. CR22-33-M, 2022 WL 16553037 (D. Mont. Oct. 31, 2022) (concluding that Bruen did not disturb the felon in possession component of Heller and Bruen did not overrule binding Ninth Circuit precedent); United States v. Paris Deautuan Grant, No. 3:22-cr-161 (D.S.C. Oct. 28, 2022) (concluding that nothing in Bruen altered the prohibition on possession of firearms by felons); United States v. Minter, No. 3:22-cr-135, 2022 WL 10662252 (M.D. Pa. Oct. 18, 2022) ("[T]he Court here need not engage in an original analysis of whether the Second Amendment's plain text covers the possession of a firearm by a felon, and if so, whether the Government has affirmatively demonstrated that § 922(g)(1) is consistent with the Nation's historical tradition of firearm regulation, where the Supreme Court in Bruen has already signaled the answer to this question."); United States v. Trinidad, No. 21-298, 2022 WL 10067519 (D.P.R. Oct. 17, 2022) (rejecting facial and as-applied challenge based on binding First Circuit precedent); United States v. Price, No. 2:22-cr-97, 2022 WL 6968457 (S.D. W.Va. Oct. 12, 2022) (finding it unnecessary to engage in the historical test articulated in Bruen as to § 922(g)(1) because "I am convinced that the

## **CONCLUSION**

For the foregoing reasons, Mr. Carpenter's motion to dismiss Count 1 of the indictment is DENIED.

DATED this 10<sup>th</sup> day of November, 2022.

BY THE COURT

David Barlow

United States District Judge

in any event the court is persuaded by historical analysis previously done by Ninth Circuit); *United States v. Cockerham*, No. 5:21-cr-6, 2022 WL 4229314 (S.D. Miss. Sept. 13, 2022) (upholding § 922(g)(1) based on Fifth Circuit precedent because *Bruen* did not invalidate as unconstitutional § 922(g)(1)); *United States v. Jackson*, No. CR 21-51, 2022 WL 4226229 (D. Minn. Sept. 13, 2022) ("While Jackson would like this Court to scrutinize the history of felon-in-possession statutes, such examination is unnecessary at this time."); *United States v. Ingram*, No. 18-cr-557, 2022 WL 3691350 (D.S.C. Aug. 25, 2022) (denying motion to dismiss because *Bruen* did not overrule *Heller*).